

Unified form for conductor roughness correction coefficients

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Overview

- Introduction
- Roughness Correction Coefficients (RCCs)
 - Unified 2-parameter form
 - Additive and multiplicative extensions
 - Commonly used RCCs in the unified form
- Inductive effect of roughness
- Practical examples
- Conclusion



Printed Circuit Boards (PCBs)

- Copper interconnects in layered dielectrics
- System-level integration/packaging at relatively short distances (up to ~ 0.5 m)
 - Best bps/volume
 - Good bps/Watt beats optical
 - Best bps/\$ beats optical & cables + conn.
- Data rate can be extended up to 100 Gbps (NRZ) or 200 Gbps (PAM4)
 - Requires understanding and proper selection of laminate dielectrics, copper foil and fabrication process
 - Requires broadband dielectric and conductor surface roughness modeling
 - We have to be prepared to simulate rough copper interconnects well beyond 100 GHz...

More in "Material World" tutorial and "Laminate Material Characterization" webinar...











Rough copper bottleneck

- Copper made rough to stick to laminate dielectric and prevent the delamination
 - Rolled "smooth" copper roughened by copper foil manufacturers and by PCB manufacturers (oxide treatment)
 - Electrodeposited copper is rough on both side and may be further roughened by PCB manufacturer on the drum side
- Narrow rough copper traces is the major obstacle for increase of communication speed on PCBs
 - Low-loss homogeneous dielectrics are available, broadband models can be constructed from the specs data (Dk and LT at one or multiple frqs)
 - Practically nothing on copper foil datasheets can be used to build broadband models (Ra/Sa is not sufficient, all other numbers are irrelevant)
 - To have analysis to measurement correlation at frequencies above 3-5 GHz, copper roughness models must be identified



Roughness models

- Direct electromagnetic analysis is simply not possible or very approximate
- Differential Extrapolation Roughness Measurement (Koledintseva, Rakov,...)
- Effective Roughness Dielectric Layer (Koledintseva, Koul,...)
- **D** Roughness Correction Coefficients (RCC): $K = P_{rough} / P_{smooth}$
 - Hammerstad model (Hammerstad, Jensen)
 - Bushminskiy's model (Bushminskiy, Yakuben,...)
 - Groiss model (Groiss, Bardi,...)
 - Stochastic models (Sanderson, Tsang,...)
 - Hemispherical model (Hall, Pytel,..)
 - Huray's snowball model (Huray,...)
 - Modified Hammerstad (Shlepnev, Nwachukwu)
 - Causal Huray model (Bracken)

How to get all that models into software?

Cross-section



See some references in the paper and at: Y. Shlepnev, C. Nwachukwu, Practical methodology for analyzing the effect of conductor roughness on signal losses and dispersion in interconnects, DesignCon2012



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Unified 2-parameter form for six common roughness correction coefficients

$$K_{ri} = 1 + (RF_i - 1) \cdot F(SR_i, \delta_s) \qquad \delta_s = (\pi \cdot f \cdot \mu \cdot \sigma)^{-1/2} \quad \text{"skin depth"}$$

RF > 1 – Roughness Factor – maximal increase in loss due to roughness (common for all models); SR – Surface Roughness parameter – defines roughness onset frequency, different for different RCCs; $F(SR_i, \delta_s)$ - Roughness Transition Function (from 0 to 1), different for different RCCs;

$$F_{h}(\Delta_{i},\delta_{s}) = \frac{2}{\pi} \arctan \left[1.4 \left(\frac{\Delta_{i}}{\delta_{s}} \right)^{2} \right] \quad \text{Hammerstad} \ (RF=2) \text{ and Modified Hammerstad} \ (RF)$$

$$F_{b}(\Delta_{i},\delta_{s}) = \tanh \left[\frac{\Delta_{i}}{1.8 \cdot \delta_{s}} \right] \quad \text{Bushminskiy aka Simbeor Original}$$

$$F_{g}(\Delta_{i},\delta_{s}) = \exp \left[-\left(\frac{\delta_{s}}{2 \cdot \Delta_{i}} \right)^{1.6} \right] \quad \text{Groiss} \ (RF=2) \text{ and Modified Groiss} \ (RF)$$

$$F_{hs}(r_{i},\delta_{s}) = \frac{2}{\pi^{2}r_{i}^{2}\mu f \delta_{s}} \cdot \left| \text{Re} \left[\eta \frac{3\pi}{4k^{2}} (\alpha(1) + \beta(1)) \right] \right] - \frac{1}{2} \quad \text{Hemispherical (diverges at high frq)}$$

$$F_{hur}(r_{i},\delta_{s}) = \left(1 + \frac{\delta_{s}}{r_{i}} + \frac{\delta_{s}^{2}}{2r_{i}^{2}} \right)^{-1} \quad \text{Huray snowball (1-ball case or "cannonball")}$$

$$F_{hb}(r_{i},\delta_{s}) = \left(1 + (1-j)\frac{\delta_{s}}{2r_{i}} \right)^{-1} \quad \text{Causal Huray aka Huray-Bracken}$$



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Comparison of roughness transition functions

SR=1 um for all models, except Hemispherical SR=2 um for Hemispherical



All are real – are the final models causal?



Additive and Multiplicative extensions

Additive – multiple bumps or balls at the same level

$$K_{ra} = 1 + \sum_{i} (RF_{i} - 1) \cdot F(SR_{i}, \delta_{s})$$

$$SR_{i} = \Delta_{i}$$

$$\Delta_{1} \downarrow$$

$$\Lambda_{1} \downarrow$$

First Additive approach is Huray "multi-ball" model: P. G. Huray, O. Oluwafemi, J. Loyer, E. Bogatin and X. Ye, "Impact of Copper Surface Texture on Loss: A Model that Works," in *DesignCon 2010 Proceedings*, Santa Clara, CA, 2010.





Multiplicative – fractaltype surface



First multiplicative approach is the extension of the Hemispherical model suggested in: Y. Chu, Method for modeling conductor surface roughness, US Patent #8527246, 2013.



Multilevel Modified Hammerstad RCC

Multiplicative form:



1-level (i=1) model with RF=2 is proposed in E.O. Hammerstad, Ø. Jensen, "Accurate Models for Microstrip Computer Aided Design", IEEE MTT-S Int. Microwave Symp. Dig., p. 407-409, May 1980.

1-level (i=1) modified model with RF is proposed in Y. Shlepnev, C. Nwachukwu, Roughness characterization for interconnect analysis. - Proc. of the 2011 IEEE Int. Symp. on EMC, Long Beach, CA, USA, August, 2011, p. 518-523



Multilevel Bushminskiy model

Multiplicative form:



1-level model (i=1) is published in Russian at: Бушминский И.П., Гудков А.Г., Якубень Л.Н. Потери в несимметричной микрополосковой линии. / Вопросы радиоэлектроники.- М.: Радиотехника.- 1982.-Вып. 2.- С. 73-87.



Multilevel Modified Groiss model

Multiplicative form:



1-level model (i=1) with RF=2 is proposed in: S. Groiss, I. Bardi, O. Biro, K. Preis and K.R. Richter, Parameters of Lossy Cavity Resonators Calculated by Finite Element Method, IEEE Transaction on Magnetics, Vol.32, No.3, 1996, p. 894-897.

1-level model with RF=2 is the Groiss model used in HFSS



Multilevel Hemispherical model





Fig. 13. Current streamlines of flowing over a single protrusion.

S. Hall, S. G. Pytel, P. G. Huray, D. Hua, A. Moonshiram, G. A. Brist, E. Sijercic, "Multigigahertz Causal Transmission Line Modeling Methodology Using a 3-D Hemispherical Surface Roughness Approach", IEEE Trans. On MTT, vol. 55, No. 12, p. 2614-2623, Dec. 2007

Unified multi-level form (multiplicative):

$$K_{sr} = \prod_{i} \left[1 + \left(RF_{i} - 1 \right) \cdot \left(\frac{2}{\pi r_{i}^{2} \mu \omega \delta_{s}} \cdot \left| \text{Re} \left[\eta \frac{3\pi}{4k^{2}} (\alpha(1) + \beta(1) \right] - \frac{1}{2} \right) \right]$$

$$RF_{i} = r \text{ oughness factor, defines maximal growth of losses due to spheres with radius ri at level i (RF max = 1 + PI/2 - physical limit); ri - sphere radius at level i (SRi parameter in Simbeor); Roughness factor and Atile in the original equation:
$$RF_{i} = 1 + \frac{2\pi \cdot r_{i}^{2}}{Atile_{i}}$$

$$RF_{i} = 1 + \frac{2\pi \cdot r_{i}^{2}}{Atile_{i}}$$

$$RF_{i} = 1 + \frac{2\pi \cdot r_{i}^{2}}{Dpeaks_{i}^{2}}$$

$$Dpeaks_{i} = r_{i} \sqrt{\frac{2\pi}{RF_{i} - 1}}$$

$$Re \left[\eta \frac{3\pi}{4k^{2}} (\alpha(1) + \beta(1)) \right] - \frac{1}{2} \right]$$

$$Re \left[\eta \frac{3\pi}{4k^{2}} (\alpha(1) + \beta(1)) \right] - \frac{1}{2} \right]$$

$$RF_{i} = 1 + \frac{2\pi \cdot r_{i}^{2}}{Atile_{i}}$$

$$RF_{i} = 1 + \frac{2\pi \cdot r_{i}^{2}}{Dpeaks_{i}^{2}}$$

$$RF_{i} = 1 + \frac{2\pi \cdot r_{i}^{2}}{Dpeaks_{i}^{2}}$$$$



Multi-ball Huray snowball model

Losses estimation for conductive sphere are used to derive equation for multiple spheres:

$$\frac{P_{rough}}{P_{smooth}} \approx \frac{A_{Matte}}{A_{hex}} + \frac{3}{2} \sum_{i=1}^{j} \left(\frac{N_i 4\pi a_i^2}{A_{hex}} \right) / \left[1 + \frac{\delta}{a_i} + \frac{\delta^2}{2a_i^2} \right]$$

P.G. Huray, The foundation of signal integrity, 2010

Amatte/Ahex can be accounted for by change of resistivity; 2-parameter addtive version of Huray Snowball model:

$$K_{sr} = 1 + \sum_{i} \left(\left(RF_{i} - 1 \right) \cdot \left(1 + \frac{\delta_{s}}{r_{i}} + \frac{\delta_{s}^{2}}{2r_{i}^{2}} \right)^{-1} \right) \qquad \delta_{s} = \left(\pi \cdot f \cdot \mu \cdot \sigma \right)^{-1/2}$$

RFi - roughness factor, defines maximal growth of losses due to all balls with radius ri; ri – ball radius (SRi parameter in Simbeor); N RF

Roughness factor and the original equation:

 $RF_i = 1 + \frac{3}{2} \frac{N_i \cdot 4\pi \cdot r_i^2}{A_{hex}}$

e original equation: ri - ball i radius; Ni - number of balls with radius ri; $\frac{N_i}{A_{hex}} = \frac{RF_i - 1}{6\pi \cdot r_i^2}$

Roughness Factor (RF) and Hall-Huray Surface Ratio (sr):

$$RF_i = 1 + \frac{3}{2} \cdot sr_i;$$
 $sr_i = \frac{2}{3} \cdot (RF_i - 1)$ sri – Hall-Huray Surface Ratio in HFSS;





Additive model – no levels!



Use of roughness correction coefficients in simulations

- Adjust t-line attenuation in propagation constant: $\Gamma(f) = K_r(f) \cdot \alpha(f) + i\beta(f)$
- Adjust conductor internal impedance (static t-line models):

$$Z(f) = K_r(f) \cdot Z_s(f) + i2\pi f \cdot L(\infty)$$

$$Z_{s}(f) = (1-i) \cdot R_{sn} \cdot \sqrt{f} \cdot \cot\left((1-i) \cdot \frac{R_{sn}}{R_{DC}} \sqrt{f}\right)$$
$$Z_{s}(f) = R_{sn} \cdot \sqrt{f} \cdot (1+i)$$

Ζ

■ Adjust Surface Impedance or Schukin-Leontovich BC:

$$Z(f) = \frac{K_r(f)}{\sigma \cdot \delta_s} (1+i) \qquad \qquad \delta_s = (\pi \cdot f \cdot \mu \cdot \sigma)^{-1/2} \qquad "skin \ depth"$$

□ Adjust differential conductor impedance operator (*Zcs*):

 $Z_{cs}^{"} = K_{sr}^{1/2} \cdot Z_{cs} \cdot K_{sr}^{1/2}$ at high frequencies converges to diagonal

$$(f) = \frac{K_r(f)}{\sigma \cdot \delta_s} (1+i)$$

Ksr is the diagonal matrix with RCC for each area of the conductor surface

Real *Kr* increases the real and imaginary parts of impedance keeping Wheeler's rule Only Huray-Bracken model has complex *Kr* and increases the imaginary part more – it is causal, but breaks the Wheeler's rule



Causal Huray-Bracken model

J. E. Bracken, A Causal Huray Model for Surface Roughness, DesignCon 2012

$$K_{sr} = 1 + \sum_{k} \left((RF_k - 1) \cdot \left(1 + (1 - i) \frac{\delta_s}{2r_i} \right)^{-1} \right) \qquad \delta_s = (\pi \cdot f \cdot \mu \cdot \sigma)^{-1/2} \qquad Z_{rough} = \frac{K_{sr}}{\sigma \cdot \delta_s} \cdot (1 + i) \qquad \text{Makes SIBC causal!}$$

RFi - roughness factor, defines maximal growth of losses due to all balls with radius ri; ri – ball radius (SRi parameter in Simbeor);





The effect of roughness

CAPACITIVE?

A. Deutsch, et al, Accuracy of Dielectric Constant Measurement Using the Full-Sheet-Resonance Technique IPC-T650 2.5.5.6, *JEPEPS 2002* p. 311-314 A. Albina at al., Impact of the surface roughness on the electrical capacitance, Microelectron. J. 37 (2006) 752-758.

Y. Shlepnev, C. Nwachukwu, Roughness characterization for interconnect analysis, IEEE Symp. on EMC 2011, p. 518-523.

OR INDUCTIVE?

A. F. Horn ; J. W. Reynolds ; J. C Rautio Conductor profile effects on the propagation constant of microstrip transmission lines – *In Proc.* of *IEEE MTT-S International Microwave Symp.*, 2010, p. 868-871.



TWS foil with

sharp spikes

Fig. 7. Magnetic field encircled by the surface current flowing on a rough conductor and excited by the incident electric field results in substantial surface inductance, above and beyond that generated by the smooth surface skin effect.

GOOD CONDUCTOR

Let's fact check it with the electromagnetic analysis...



Parallel-plate waveguide with one ideal conductor and another with 1 um posts (0.5 by 0.5 um) at 0.5 um distance

Structured Mesh: X:90, Y:34, Z:7, dX=0.25, dY=0.25 dZmax=599.585 Elements: 21 420; Matrices: SM: 257.940 CM: 140, Final: 2; Analysis: Multiport #17 Hfield[CutPlane].at 10 GM11114100 ps; Peak; Min=1.078, Max=166.1 [A/m r 166.1 (A/<mark>m</mark>1 124.5 83.03 41.52 04 Oct 2017, 06:39:40, Simberian Inc. 3D View Mode (press <E> to Edit).

Cut plane along the PPW through the posts Magnetic field intensity [A/m] Peak values at 10 GHz





Computed with Simbeor THz

04 Oct 2017, 06:43:18, Simber

Parallel-plate waveguide with one ideal conductor and another with 1 um posts (0.5 by 0.5 um) at 0.5 um distance

Cut planes along the PPW through the posts and between the posts Current flow density [A/m²] Peak values at 10 GHz



Smaller currents in the "valleys"

Computed with Simbeor THz



Parallel-plate waveguide with one ideal conductor and another with 1 um posts (0.5 by 0.5 um) at 0.5 um distance



Computed with Simbeor THz



Shucture (fesh: X=0, Y:3(, Z:7, dx=0.25, dx=0.2



Parallel-plate waveguide with one ideal conductor and another with 1 um posts (0.5 by 0.5 um) at 0.5 um distance

ctromagnetic Solution

Cut planes along the PPW through the posts and between the posts Current flow density [A/m^2] Peak values at 100 GHz



Roughness model with "mushrooms" in PPW





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Roughness model with "mushrooms" in PPW

Parallel-plate waveguide with one ideal conductor and another with 1 um "mushrooms" (1.5 by 1.5 um cap, 0.5 um stem) at 0.5 um distance 3 cut planes along the PPW through the "mushrooms" and between Current flow density [A/m^2] Peak values at 100 GHz





"Mushrooms" in PPW – current flow density at 3 cut planes (between, through cap and stem)



Animation at 100 GHz over one period



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"Mushrooms" in PPW – magnetic field intensity in cut plane through cap (additional inductance)



Animation at 100 GHz over one period



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Roughness model identification with measurements

Identification with GMS-parameters for CMP-28 channel modeling platform from Wild River Technology – uses S-parameters of 2 line segments



Details of the method: Y. Shlepnev, Broadband material model identification with GMSparameters, *in Proc. of 2015 IEEE 24th Conference on EPEPS*, October 25-28, 2015, San Jose, CA.



Difference in GMS magnitude and phase delay: measured vs. identified

Modified Hammerstad (MHRCC, red o): SR=0.313 um, RF=2.595; Huray (HRCC, blue squares): SR=0.123 um, RF=7.846; Modifed Groiss (MGRCC, purple x): SR=0.216 um, RF=2.759; Hemispherical (HSRCC, cyan +): SR=0.563 um, RF=3.969; Bushminskiy (BRCC, black rhombs): SR=0.362 um, RF=2.405;

Huray-Bracken (HBRCC, red *): SR=0.123 um, RF=7.846;

A:MHRCC.difference.Simulation(1); B:MGRCC.difference.Simulation(1); C:HRCC.difference.Simulation(1); D:HSRCC.difference.Simulation(1); E:SORCC.difference.Simulation(1); F:HBRCC.difference.Simulation(1);



Dielectric model is Wideband Debye:

- Dk=3.811, LT=0.00111 @ 1 GHz

Dk=3.787, LT=0.00111 @ 1 GHz



Higher inductance and lower Dk increase impedance. No way to validate - CMP-28 was not cross-sectioned!



Practical example with cross-sectioning





Details in App Note #2017_03 at www.simberian.com

FR4: Dk=3.54, LT=0.0058 @ 1 GHz; Resin: Dk=3.76, LT=0.0058 @ 1 GHz; Roughness: Modified Groiss SR=0.19 um, RF=2.75 FR4: Dk=3.465, LT=0.002 @ 1 GHz; Resin Dk=3.63, LT=0.002 @ 1 GHz; Roughness: Huray-Bracken SR=0.2 um, RF=7.75





Validation with TDR



Simberian 10/15/2017

correction coefficients with additive and multiplicative extensions is proposed $K_{ii} = 1 + ($

Conclusion

- Some observations on the roughness
 - All mentioned roughness correction coefficients are "heuristic"
 - We definitely know that the roughness increases the losses no doubts about it and all RCCs predict it
 - Drawing flat boundary changes both capacitance and inductance of the model – may be interpreted as capacitance or inductance of rough surface

Unified 2-parameter form for most of the roughness

- Some surfaces may increase the inductance above predicted by the Wheeler's rule and beyond the boundary positioning – accounted in Huray-Bracken RCC
- Some surfaces may increase the capacitance beyond the boundary positioning – cannot be accounted by RCCs





 $K_{ri} = 1 + (RF_i - 1) \cdot F(SR_i, \delta_s)$